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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/786,627

Filing Date

February 25, 2004

First Named Inventor

Bales et al.

Art Unit

2122

Examiner Name

Attorney Docket Number

BEAS-01381us0

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

Post Card

Copies of references cited  
from related matter

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Fliesler Meyer LLP

Customer No. 23910

Signature

Printed name

Joseph P. O'Malley

Date

January 13, 2006

Reg. No.

36,226

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

*Teri Muir*

Typed or printed name

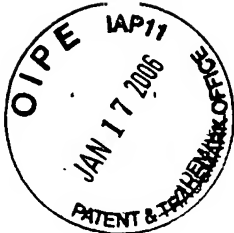
Teri Muir

Date

January 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Bales et al.  
Appln. No.: 10/786,627  
Confirm. No.: 3071  
Filed: February 25, 2004  
Title: SYSTEMS AND METHODS FOR  
PERSONALIZING A PORTAL

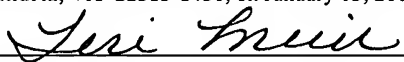
PATENT APPLICATION

Art Unit: 2122  
Examiner:

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 13, 2006.

 (Signature)  
Teri Muir  
Signature Date: January 13, 2006

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ Copies of cited U.S. patents and publications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as required, except for those items designated by an asterisk (\*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).
- ☐ If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English

language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

— ***PTA Statement under 37 C.F.R. §1.704(d).*** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

***This statement should be considered because:***

— **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

— **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

— (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).

-- OR --

— (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:


- (1) It is being filed on or before payment of the Issue Fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

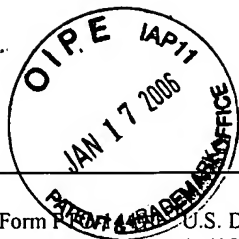
Respectfully submitted,

FLIESLER MEYER LLP

Date: January 13, 2006

By:   
\_\_\_\_\_  
Joseph P. O'Malley  
Reg. No. 36,226

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San Francisco, California 94111-4156  
Telephone (415) 362-3800



Form 1 (Substitute)  
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
**Information Disclosure Statement**  
**BY APPLICANT**  
(Use several sheets if necessary)

Attorney Docket Number

BEAS-01381US0

Serial/Patent Number

10/786,627

Applicant/Patent Owner

Bales et al.

Filing/Issue Date

February 25, 2004

Group Art Unit

2122

### U.S. PATENTS

| Examiner Initial | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
|------------------|---------------|------------|----------------------|-------|----------|-------------|
|                  | 6,083,276     | 7/4/00     | Davidson et al.      | 717   | 1        | 6/11/98     |
|                  | 6,668,354 B1  | 12/23/03   | Chen et al.          | 715   | 517      | 6/5/99      |
|                  | 6,735,586 B2  | 5/11/04    | Timmons              | 707   | 3        | 2/8/01      |

### U.S. PATENT PUBLICATIONS

| Examiner Initial | Patent Application Publication Number | Publication Date | Applicant     |
|------------------|---------------------------------------|------------------|---------------|
|                  | 2004/0205473 A1                       | 10/14/04         | Fisher et al. |
|                  | 2004/0205557 A1                       | 10/14/04         | Bahrs et al.  |

### FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Publication Date | Country | Class | Subclass | Translation<br>Yes   No |
|------------------|-----------------|------------------|---------|-------|----------|-------------------------|
|                  |                 |                  |         |       |          |                         |

### OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

|  |  |
|--|--|
|  |  |
|--|--|

Examiner

Date Considered

**\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

**\*1 = Copy not submitted because it was submitted in prior application SN /\_\_\_\_\_, filed \_\_\_\_\_, 20\_\_\_\_, relied on under 35 USC §120.**

**\*2 = Copy not submitted because it was submitted in prior application SN /\_\_\_\_\_, filed \_\_\_\_\_, 20\_\_\_\_, relied on under 35 USC §120.**

## Notice of References Cited

Application/Control No.

10/279,449

Applicant(s)/Patent Under  
Reexamination  
GRIFFIN, PHILIP B.

Examiner

Chih-Ching Chow

Art Unit

2192

Page 1 of 1

## U.S. PATENT DOCUMENTS

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name             | Classification |
|---|---|--------------------------------------------------|-----------------|------------------|----------------|
|   | A | US-2004/0205557                                  | 10-2004         | Bahrs et al.     | 715/513        |
|   | B | US-6,668,354                                     | 12-2003         | Chen et al.      | 715/517        |
|   | C | US-6,735,586                                     | 05-2004         | Timmons, Michael | 707/3          |
|   | D | US-6,083,276                                     | 07-2000         | Davidson et al.  | 717/107        |
|   | E | US-2004/0205473                                  | 10-2004         | Fisher et al.    | 715/500        |
|   | F | US-                                              |                 |                  |                |
|   | G | US-                                              |                 |                  |                |
|   | H | US-                                              |                 |                  |                |
|   | I | US-                                              |                 |                  |                |
|   | J | US-                                              |                 |                  |                |
|   | K | US-                                              |                 |                  |                |
|   | L | US-                                              |                 |                  |                |
|   | M | US-                                              |                 |                  |                |

## FOREIGN PATENT DOCUMENTS

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Country | Name | Classification |
|---|---|--------------------------------------------------|-----------------|---------|------|----------------|
|   | N |                                                  |                 |         |      |                |
|   | O |                                                  |                 |         |      |                |
|   | P |                                                  |                 |         |      |                |
|   | Q |                                                  |                 |         |      |                |
|   | R |                                                  |                 |         |      |                |
|   | S |                                                  |                 |         |      |                |
|   | T |                                                  |                 |         |      |                |

## NON-PATENT DOCUMENTS

| * |   | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages                                  |  |  |  |
|---|---|---------------------------------------------------------------------------------------------------------------------------|--|--|--|
|   | U | Hunter, Jason, "Java Servlet Programming", second edition, O'Reilly, 04/11/2001.                                          |  |  |  |
|   | V | Fliesler Meyer LLP<br>File: <u>BEAS-01381050</u>                                                                          |  |  |  |
|   | W | Action Item: <u>suppl. info disc. stmt</u><br>Date Due: <u>January 19, 2006</u><br>Critical Date: <u>January 19, 2006</u> |  |  |  |
|   | X | Attorney Paid: <u>Sam/JPB</u><br>Docketed By: <u>Sam</u><br>Verified By: <u>mark</u>                                      |  |  |  |

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.